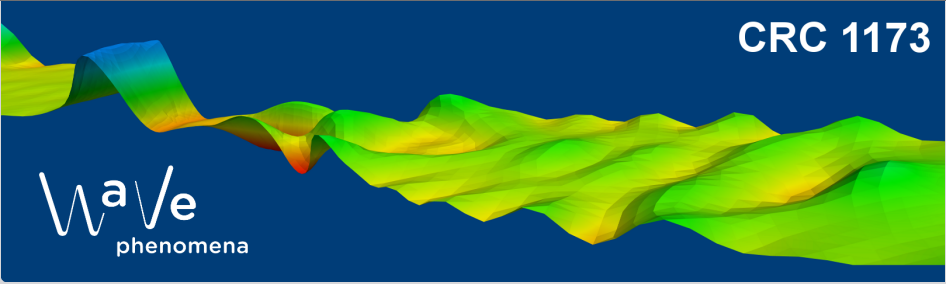


Real-valued time-periodic localized standing waves for a class of nonlinear wave equations

Andreas Hirsch, Simon Kohler and Wolfgang Reichel

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Institute for Analysis



CRC 1173

Wave
phenomena

The problem

Find $u : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$ solving

$$\text{(semi)} \quad V(x)u_{tt} - u_{xx} = \Gamma(x)|u|^{p-1}u$$

$$\text{(quasi)} \quad V(x)u_{tt} - u_{xx} = \Gamma(x)(u_t^3)_t$$

such that

- u is T -periodic, real valued

- $\lim_{|x| \rightarrow \infty} u(x, t) = 0$

for $p > 1$ & suitable conditions on $V, \Gamma : \mathbb{R} \rightarrow \mathbb{R}$

Outline:

- (A) history of semilinear problem
- (B) results for semilinear wave equation
- (C) physical background of quasilinear problem
- (D) results for quasilinear wave equation

History of the problem

1973 Ablowitz, Kaup, Newell, Segur: Sine-Gordon breather

$$u_{tt} - u_{xx} + \sin u = 0$$

$$u(x, t) = 4 \arctan \left(\frac{m \sin(\omega t)}{\omega \cosh(mx)} \right), m^2 + \omega^2 = 1$$

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1993 Denzler, 1994 Birnir, McKean, Weinstein: non-persistence

$$u_{tt} - u_{xx} + f(u) = 0, \quad f(0) = 0, f'(0) = 1$$

has no breather-solution except for $f(u) = \sin u$.

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1994 McKay, Aubry:

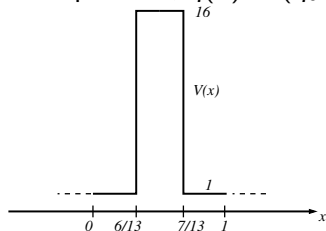
replace u_{xx} by $u_{n+1} - 2u_n + u_{n-1} \Rightarrow$ breathers are back

History of the problem

2011: Blank, Chirilus-Bruckner, Lescarret, Schneider

$$V(x)u_{tt} - u_{xx} + q(x)u = \pm u^3$$

V 1-periodic, $q(x) = (q_0 - \epsilon^2)V(x)$, $q_0 \approx 3.7703$



$\exists$ breather for $0 < \epsilon < \epsilon_0$

$$u(x, t) = O(\epsilon)$$

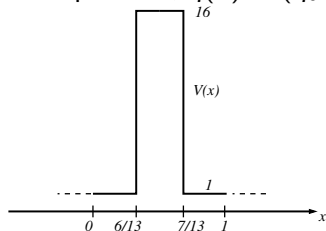
$$T = \frac{32}{13}$$

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$$u(x, t) = O(\epsilon)$$

$$T = \frac{32}{13}$$

2017: M.Plum, W.R., JEPE. Existence of breather $U : \mathbb{R}^3 \times \mathbb{R} \rightarrow \mathbb{R}^3$

$$V(x)U_{tt} + \nabla \times \nabla \times U + q(x)U = \pm \Gamma(x)|U|^{p-1}U \text{ in } \mathbb{R}^3 \times \mathbb{R}$$

- $1 < p < \infty$, V, q, Γ radially symmetric, positive
- $\sup \frac{q}{\Gamma} < \infty$ & smooth. condition on $\frac{q}{\Gamma}$ at $|x| = r = 0$ and at $r = \infty$

Our result for the semilinear case

(semi)
$$V(x)u_{tt} - u_{xx} = \pm|u|^{p-1}u$$

Theorem 1 (A. Hirsch & W.R., 2018)

Breathers exist in the following three cases (V is positive, 2π -periodic):

(1) $V(x) = \alpha + \beta\delta^{per}(x), \beta > 32\alpha,$ $1 < p < p^* = 2$

(2) $V(x) = \begin{array}{c} \text{---} \alpha \\ \text{---} \beta, \quad 0 < \theta \ll \frac{1}{2}, \quad \frac{\alpha}{\beta} = \frac{(1-\theta)^2}{\theta^2} \\ \text{---} \end{array}$ $1 < p < p^* = 3$
 $\begin{array}{ccc} 0 & 2\pi\theta & 2\pi \end{array}$

(3) $\exists$ suitable $V \in H_{per}^r(\mathbb{R})$ near $V_0 \equiv 1, r \in [1, 3/2),$ $1 < p < p^* = \frac{7-2r}{1+2r}$

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frequencies $\omega =$

(1) $(4\alpha)^{-1},$

(2) $(4\theta\sqrt{\alpha})^{-1}$

(3) $\pi\left(\int_0^{2\pi} \sqrt{V(x)} dx\right)^{-1}$

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Approach: $u(x, t) = \sum_{k \text{ odd}} u_k(x) e^{ik\omega t}, \bar{u}_k = u_{-k}$

$$V(x) \frac{\partial^2}{\partial t^2} - \frac{\partial^2}{\partial x^2} = \bigoplus_{k \text{ odd}} L_k \text{ with } L_k = -\frac{d^2}{dx^2} - k^2 \omega^2 V(x)$$

$$\text{dist}(0, \sigma(L_k)) \geq \text{const.} \begin{cases} |k| & \text{case (1), (2)} \\ |k|^{\frac{3}{2}-r} & \text{case (3)} \end{cases}$$

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construction of $V \in H_{per}^r(\mathbb{R})$: real-analytic inverse spectral theory
M. Chirilus-Bruckner & C.E. Wayne, JMAA 2015.

Variational method

space-time domain $D = \mathbb{R} \times (0, T)$, $T = 2\pi/\omega$:

$$J(u) = \int_D u_x^2 - V(x)u_t^2 \, d(x, t) \mp \frac{2}{p+1} \int_D |u|^{p+1} \, d(x, t)$$

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$$J(\hat{u}) = \sum_{k \text{ odd}} b_{L_k}(u_k, u_k) \mp \frac{2}{p+1} \int_D |S\hat{u}|^{p+1} d(x, t)$$

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for $\hat{u}$ in Hilbert -space

$$H = \left\{ \hat{u} : \sum_{k \text{ odd}} b_{|L_k|}(u_k, u_k) < \infty, u_k \in \text{dom}(b_{|L_k|}) = \text{dom}(b_{L_k}) = H^1(\mathbb{R}), \right. \\ \left. \bar{u}_k = u_{-k} \right\}$$

Variational method – continued

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- J is indefinite, $0 \notin \sigma(V(x) \frac{\partial^2}{\partial t^2} - \frac{\partial^2}{\partial x^2}) = \overline{\bigcup_{k \text{ odd}} \sigma(L_k)}$
- locally compact embedding $S : H \rightarrow L^q(D), 2 \leq q < p^* + 1$
- concentration compactness

$\Rightarrow \exists$ critical point $\hat{=}$ real-valued breather $u(x, t) = \sum_{k \text{ odd}} u_k(x) e^{ik\omega t}$

Extensions & further properties

generalize

$$V(x)u_{tt} - u_{xx} = \pm|u|^{p-1}u$$

to

$$V(x)u_{tt} - u_{xx} + q(x)u = f(x, u)$$

with $q(x) = \tau V(x)$, $\tau \in (-\tau_0, \tau_0)$ and

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(H1) f continuous, 2π -periodic in x , $|f(x, s)| \leq c(1 + |s|^p)$, $1 < p < p^*$

(H2) $f(x, s) = o(s)$ as $s \rightarrow 0$ uniformly in $x \in \mathbb{R}$

(H3) $f(x, s)$ odd in $s \in \mathbb{R}$, $s \mapsto f(x, s)/|s| \nearrow_s$ on $(-\infty, 0)$ and $(0, \infty)$

(H4) $\frac{F(x, s)}{s^2} \rightarrow \infty$ as $s \rightarrow \infty$ uniformly in $x \in \mathbb{R}$, $F(x, s) := \int_0^s f(x, t)dt$

inspired by: Szulkin, Weth, The method of Nehari manifold, 2010.

Extensions & further properties: regularity

$$u \in L^{p+1}(D), 1 < p < p^*$$

$$u = \sum_{k \text{ odd}} u_k(x) e^{ik\omega t} \in H^\alpha(0, T; H^1(\mathbb{R})) \cap H^\beta(0, T; L^2(\mathbb{R}))$$

i.e.

$$\sum_{k \text{ odd}} |k|^{2\alpha} \|u'_k\|_{L^2}^2 + |k|^{2\beta} \|u_k\|_{L^2}^2 < \infty$$

with

$$2\beta \begin{cases} = 1 & \text{case 1)} \\ = 1 & \text{case 2)} \\ = \frac{3}{2} - r & \text{case 3)} \end{cases} \quad 2\alpha \begin{cases} = -3 & \text{case 1)} \\ < -1 & \text{case 2)} \\ = -\frac{1}{2} - r & \text{case 3)} \end{cases}$$

Concept of weak solution

$$\phi \in C_c^\infty(\mathbb{T} \times \mathbb{R})$$

$$\int_D V(x) u \phi_{tt} \, d(x, t) - \int_D u \phi_{xx} \, d(x, t) = \int_D \Gamma(x) |u|^{p-1} u \phi \, d(x, t)$$

For Case 1): $V(x) = \alpha + \beta \delta_{per}$

$$, \int_D \delta_{per}(x) u \phi_{tt} \, d(x, t) , = \sum_{n \in \mathbb{Z}} \int_0^T u(2\pi n, t) \phi_{tt}(2\pi n, t) \, dt$$

A. Hirsch, W.R.: Real-valued, time-periodic localized weak solutions for a semilinear wave equation with periodic potentials, ArXiv 2018

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— end of part A and B —

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- (A) history of semilinear problem
- (B) results for semilinear wave equation
- (C) physical background of quasilinear problem
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The nonlinear Maxwell model

$$\nabla \times \mathbf{E} + \partial_t \mathbf{B} = 0,$$

$$\nabla \cdot \mathbf{D} = 0,$$

$$\nabla \times \mathbf{H} - \partial_t \mathbf{D} = 0,$$

$$\nabla \cdot \mathbf{B} = 0.$$

Material laws:

$$\mathbf{B} = \mu_0 \mathbf{H}, \quad \mathbf{D} = \epsilon_0 \mathbf{E} + \mathbf{P}(x, \mathbf{E}) = \epsilon_0 (1 + \chi_1(x) + \chi_3(x) |\mathbf{E}|^2) \mathbf{E}$$

$$\mu_0 \epsilon_0 = 1/c^2 \quad (c = \text{speed of light in vacuum})$$

$$\hookrightarrow \quad \nabla \times \nabla \times \mathbf{E} + \mu_0 \partial_t^2 \mathbf{D} = 0$$

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Quasilinear wave-equation for $\mathbf{E}$:

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For $\mathbf{E} = (0, 0, v(x_1, t))$:

$$\hookrightarrow -v_{x_1 x_1} + \mu_0 \epsilon_0 (1 + \chi_1(x_1)) v_{tt} = -\mu_0 \epsilon_0 \chi_3(x_1) (v^3)_{tt}$$

For $v(x, t) = u_t(x, t)$

$$\hookrightarrow -u_{xx} + V(x) u_{tt} = \Gamma(x) (u_t^3)_t$$

The quasilinear case – concept of solution

Joint work with Simon Kohler

$$(quasi) \quad \left\{ \begin{array}{lcl} V(x)u_{tt} - u_{xx} & = & \Gamma(x)(u_t^3)_t \text{ in } \mathbb{R} \times \mathbb{R} \\ u(x, t) & \rightarrow & 0 \text{ as } |x| \rightarrow \infty \\ u(x, t + T) & = & u(x, t) \end{array} \right.$$

An extreme example: $\Gamma(x) = \pm\delta_0(x)$.

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For $\phi \in C_c^\infty(\mathbb{T} \times \mathbb{R})$

$$\int_D -V(x)u_t\phi_t \, d(x, t) + \int_D u_x\phi_x \, d(x, t) = \mp \int_0^T u_t(0, t)^3\phi_t(0, t) \, dt$$

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If $V(x)$ and $u(x, t)$ are even in x then

$$(nN) \quad \begin{cases} V(x)u_{tt} - u_{xx} &= 0 \text{ in } (0, \infty) \times \mathbb{R}, \\ -2u_x(0, t) &= \pm(u_t(0, t)^3)_t, \\ u(x, t) &\rightarrow 0 \text{ as } x \rightarrow \infty \\ u(x, t+T) &= u(x, t) \end{cases}$$

The quasilinear case – existence of solution

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Theorem 2 (S. Kohler & W.R., 2018)

Breathers exist if $V(x) = \frac{\alpha}{\beta} \frac{(1-\theta)^2}{\theta^2}$ for $0 < \theta < 1, \theta \neq \frac{1}{2}, \frac{\alpha}{\beta} = \frac{(1-\theta)^2}{\theta^2}$

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Breathers exist if $V(x) = \frac{\alpha}{\pi} \frac{1}{\sin^2(x - \pi\theta)}$, $0 < \theta < 1, \theta \neq \frac{1}{2}, \frac{\alpha}{\beta} = \frac{(1-\theta)^2}{\theta^2}$

Approach: $u(x, t) = \sum_{k \text{ odd}} u_k(x) e^{ik\omega t}$, $\bar{u}_k = u_{-k}$

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more precise: $u(x, t) = \sum_{k \text{ odd}} \frac{\alpha_k}{k} \phi_k(x) e^{ik\omega t}$, $\bar{\alpha}_k = -\alpha_{-k}$

ϕ_k = Bloch-mode, $L_k \phi_k = 0$ on $(0, \infty)$, exp. decaying at $+\infty$, $\phi_k(0) = 1$

The quasilinear case – variational method

$$D = \mathbb{R} \times (0, T), \quad T = 2\pi/\omega, \quad u(x, t) = \sum_{k \text{ odd}} \frac{\alpha_k}{k} \phi_k(x) e^{ik\omega t}$$

$$J(u) = \int_D u_x^2 - V(x) u_t^2 \, d(x, t) \mp \frac{1}{2} \int_0^T |u_t(0, t)|^4 \, dt$$

$$= 2 \int_0^T u(0, t) u_x(0, t) \, dt \mp \frac{1}{2} \int_0^T |u_t(0, t)|^4 \, dt$$

$$= 2 \sum_{k \text{ odd}} \frac{\phi'_k(0)}{k^2} |\alpha_k|^2 \mp \frac{\omega^4}{2} \|\hat{\alpha} * \hat{\alpha}\|_{l^2}^2$$

$$J(\hat{\alpha}) := \omega^4 \|\hat{\alpha} * \hat{\alpha}\|_{l^2}^2 \mp 4 \sum_{k \text{ odd}} \frac{\phi'_k(0)}{k^2} |\alpha_k|^2$$

The quasilinear case – variational method

$$D = \mathbb{R} \times (0, T), \quad T = 2\pi/\omega, \quad u(x, t) = \sum_{k \text{ odd}} \frac{\alpha_k}{k} \phi_k(x) e^{ik\omega t}$$

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$$D = \mathbb{R} \times (0, T), \quad T = 2\pi/\omega, \quad u(x, t) = \sum_{k \text{ odd}} \frac{\alpha_k}{k} \phi_k(x) e^{ik\omega t}$$

$$\begin{aligned} J(u) &= \int_D u_x^2 - V(x) u_t^2 \, d(x, t) \mp \frac{1}{2} \int_0^T |u_t(0, t)|^4 \, dt \\ &= 2 \int_0^T u(0, t) u_x(0, t) \, dt \mp \frac{1}{2} \int_0^T |u_t(0, t)|^4 \, dt \\ &= 2 \sum_{k \text{ odd}} \frac{\phi'_k(0)}{k^2} |\alpha_k|^2 \mp \frac{\omega^4}{2} \|\hat{\alpha} * \hat{\alpha}\|_{l^2}^2 \end{aligned}$$

$$J(\hat{\alpha}) := \omega^4 \|\hat{\alpha} * \hat{\alpha}\|_{l^2}^2 \mp 4 \sum_{k \text{ odd}} \frac{\phi'_k(0)}{k^2} |\alpha_k|^2$$

note: $\phi'_k(0) = \text{const. } k(-1)^{\frac{k-1}{2}}$

J well-defined, coercive, weakly-lsc on

$$X = \{\hat{\alpha} : \hat{\alpha} * \hat{\alpha} \in l^2, \bar{\alpha}_k = -\alpha_{-k}\} \hookrightarrow l^2$$

$\Rightarrow \exists$ minimizer $\hat{=}$ real-valued breather $u(x, t) = \sum_{k \text{ odd}} \frac{\alpha_k}{k} \phi_k(x) e^{ik\omega t}$

Numerical experiments

$$u(x, t) = \sum_{k=-K}^K \frac{\alpha_k}{k} \phi_k(x) e^{ik\omega t}. \text{ Top: } \gamma = 1, \text{ Bottom: } \gamma = -1$$

$K = 5$

$K = 81$

$K = 5$

$K = 81$

- $u \in H^1(D)$
- $|u(x, t)| \leq \text{const. } e^{-\rho|x|}$
- $u \in H^{1+\nu}(0, T; L^2(\mathbb{R})) \cap H^\nu(0, T; H^1(\mathbb{R})), \nu < 1/4$

Recall concept of solution: for $\phi \in C_c^\infty(\mathbb{T} \times \mathbb{R})$

$$\int_D -V(x) u_t \phi_t \, d(x, t) + \int_D u_x \phi_x \, d(x, t) = \mp \int_0^T u_t(0, t)^3 \phi_t(0, t) \, dt$$

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— end of part C and D —

The problem

Find $u : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$ solving

$$\text{(semi)} \quad V(x)u_{tt} - u_{xx} = \Gamma(x)|u|^{p-1}u$$

$$\text{(quasi)} \quad V(x)u_{tt} - u_{xx} = \Gamma(x)(u_t^3)_t$$

such that

- u is T -periodic, real valued

- $\lim_{|x| \rightarrow \infty} u(x, t) = 0$

for $p > 1$ & suitable conditions on $V, \Gamma : \mathbb{R} \rightarrow \mathbb{R}$

Outline:

- (A) history of semilinear problem
- (B) results for semilinear wave equation
- (C) physical background of quasilinear problem
- (D) results for quasilinear wave equation